Jiangmen Chancsun Umicore Industry Co., LTD.

Supplier Code of Conduct

JCU

Jiangmen Chancsun Umicore Industry Co., LTD.(hereinafter referred to as “ JCU ”) develops the *Supplier Code of Conduct* (hereinafter referred to as “ the Code ”) with the aim of cooperating with its partners in preventing and solving social and environmental risks in supply chains, striving to achieve higher standards, building a responsible supply chain partnership and contributing to achieving sustainable development.

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JCU believes that compliance, ethical operation and transparency is the foundation of business success. The Code summarizes the expectation and requirement of JCU on its suppliers’ behaviors and responsible behaviors including labor and human rights, health and safety, environmental protection and ethics. In various activities such as exploitation, transportation, transaction, processing and export of raw materials, especially when carrying out the above activities in conflict affected and high risk areas,JCU hopes all suppliers commit to ensure full compliance with these items set in the Code , as they in turn apply them to their own suppliers who provide goods and services to them.

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JCU hopes all suppliers shall exercise due diligence in accordance with the Chinese Due Diligence Guidance for Responsible Mineral Supply Chains and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from

Conflict-Affected and High-Risk Areas , on their entire supply chain with respect to the sourcing of all metal. We also expect all suppliers to provide reasonable documentary evidence of their procurement and due diligence management activities to confirm full compliance at all stages of their supply chain.
JCU reserves the right to disengage business activity with any supplier who fails to



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means, workers are to be provided with and use appropriate, well-maintained, personal protective equipment. Protective programs shall include educational materials about the risks associated with these hazards.

Provision: Environment

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1. Supplier shall comply with all applicable laws and regulations regardingenvironment.

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2. Pollution Prevention and Resource Reduction: The use of resources and generation of waste of all types, including water and energy, are to be reduced or eliminated at the source or by practices such as modifying production, maintenance and facility processes, materials substitution, conservation, recycling and re-using materials.

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3. Hazardous materials: Supplier shall identify and manage chemicals and other materials posing a hazard if released to the environment to ensure their safe handling, movement, storage, use, recycling or reuse and disposal.

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4. Supplier shall protect life and health of its employees and neighbors, as well as the public involving from inherent risk from processes and products.

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5. Control and disposal of wastewater and solid waste: For the wastewater and solid waste which are generated during the operation, manufacturing, and facility cleaning, supplier shall monitor, control and treat them according to the requirement before being discharged or disposed.

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6. Air emissions: according to relevant requirements, supplier shall identify,

monitor, control and treat the VOCs, corrosive gases,particulates,Ozone-depleting chemicals and Combustion by-products which are generated by the manufacturing process before discharging.

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7. Materials Restrictions: Suppliers are to adhere to all applicable laws, regulations and customer requirements regarding prohibition or restriction of specific substances in products and manufacturing, including labeling for recycling and disposal.

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8. Energy consumption and all relevant Scopes 1 and 2 greenhouse gas emissions

is protected, and prohibit retaliation.

5. Protection of Intellectual Property: Supplier shall respect intellectual property rights and safeguard customer’s information.

6. Anti-Trust and Unfair Competition: Supplier shall comply with all applicable national and international regulations of anti-trust and trade control. Partner is prohibited to have monopoly or unfair competition alone or with other partners.

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7.Responsible Sourcing of Minerals:Suppliers shall exercise due diligence in accordance with the *Chinese Due Diligence Guidance for Responsible Mineral Supply Chains* and the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas* (the “Chinese Guidance and OECD Guidance”), on their entire supply chain with respect to the sourcing of all metal, contained in their products, to determine whether metal is from the Democratic Republic of the Congo (the “DRC”) or any other identified high risk areas.Suppliers shall identify the effects of its purchase of raw materials and/or intermediate products on the society and environment, pay special attention to the risk of human rights in raw materials from the conflict affected and high risk area, and take effective measures to reduce the negative effects of supply chains.

Provision 5: Company Management

1 (CSR)

1. Management Responsibilities and Obligations: supplier shall set up a Corporate Social Responsibility (CSR) department or similar position that reports directly to executive management who has the responsibility and authority to manage social and environmental compliance requirements for the business.

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2. Risk Assessment and Management: supplier shall develop and maintain a procedure to identify the risks in labor and human rights, health and safety, environmental, business ethics, and legal compliance associated with its operations; implement appropriate procedures and controls to mitigate identified risks.

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3. Information Communication: supplier shall have processes to accurately communicate with employees, vendors and customers about the practices, policies and expectations.

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4. Corrective Action Process: Supplier shall have a process to co